



King George's Medical University, U.P., Lucknow

Office of the Dean, Research

Prof. Hardeep Singh Malhotra
Dean

No.: 13/R-Cell-26

Date: 18/08/26

NOTICE / CIRCULAR

This circular formally communicates the decisions of the 137th Institutional Ethics Committee (IEC) meeting held on 18th September 2024, regarding the eligibility of Principal Investigators (PIs) and Co-Investigators (Co-Is). The Committee deliberated extensively on the roles of residents, contractual faculty/staff, Research Officers, faculty serving bond, and other non-regular appointees. The matter was also discussed with the University office bearers and the Hon'ble Vice Chancellor.

In view of the financial, legal, ethical, and regulatory responsibilities inherent in conducting research studies and clinical trials, and to ensure continuity, accountability, and timely completion, the IEC has issued the following decisions:

1. Eligibility of regular faculty

- Only regular faculty members of King George's Medical University, Lucknow, shall be eligible to submit research studies/clinical trials to the IEC in the capacity of Principal Investigator or Co-Investigator. Such faculty members must ordinarily have sufficient remaining tenure to ensure completion of the proposed study or trial.

2. Faculty serving bond and contractual faculty

- With a view to promote research environment in the University, faculty serving bond and contractual faculty members may participate in research projects as Co-Investigators. However, they shall not be eligible to submit proposals independently as Principal Investigators.

3. Students and Residents

- Students and residents are expected to undertake **curriculum-mandated research activities**, including thesis, dissertation, and short research projects, as part of their academic program. In such curriculum-based research, the student/resident may serve as the Principal Investigator, under the supervision of the designated faculty guide/supervisor, in accordance with the applicable academic regulations.
- Students/Residents may also participate in **externally funded or institutionally funded research projects** (such as ICMR-STS, DHR, Intramural Research Grants, and similar schemes), subject to the necessary approvals and with appropriate faculty supervision. Beyond this, the submission of independent, non-funded research proposals by students/residents, other than curriculum-mandated research, as Principal Investigator cannot be allowed.
- Students/Residents serving bond** shall only be eligible to participate in externally funded projects), subject to the necessary approvals and with appropriate faculty supervision.
- In cases where a student/resident proposes to undertake a research project beyond the prescribed curriculum requirements, the proposal shall be submitted only under the following conditions:
 - A regular faculty member of KGMU shall be designated as the Mentor/Principal Investigator to ensure compliance with all institutional, ethical, legal, and regulatory requirements; and

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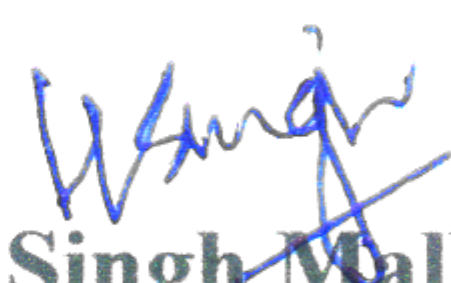
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- The proposal shall be forwarded through their respective Deans (Medical/Dental/Nursing/Paramedical) with a specific certification that:
 - a. the proposed research shall not adversely affect the student's/resident's academic curriculum or training requirements; and
 - b. the proposed research is permissible under the applicable regulations/guidelines of the concerned statutory regulatory body, including the National Medical Commission (NMC), the Dental Council of India (DCI), etc. as applicable.

If, at any stage, it is found or brought to the notice of the Institutional Ethics Committee that approval has been obtained contrary to the above provisions or on the basis of incorrect or incomplete information, such approval shall be treated as **kept in abeyance (pending review)** and the proposal shall be placed before the Full Committee of the IEC for reconsideration. If the irregularity or objection is found to be substantiated after due examination, the IEC reserves the right to withdraw the approval granted, in accordance with the applicable ethical guidelines, institutional regulations, and statutory provisions.

All concerned are requested to adhere to the above guidelines while submitting research proposals to the Institutional Ethics Committee. Any proposal not complying with these provisions shall be liable to be returned without processing or dealt with in accordance with the decision of the IEC.


(Hardeep Singh Malhotra)
Dean, Research

Distribution:

- Notice Book
- The Deans, Faculty of Academics/Dental Sciences/Nursing/Paramedical, KGMU, Lucknow
- All the Heads of Departments, KGMU, Lucknow with the request to kindly circulate the same among all faculty members, residents, and students of their respective departments.
- All the Faculty, KGMU, Lucknow
- All the provosts of Hall, KGMU, Lucknow
- The Registrar, KGMU, Lucknow
- The Finance Officer, KGMU, Lucknow
- Faculty In-charge, IT Cell, KGMU, Lucknow with the request to upload it on the KGMU website
- P.S. to Hon'ble Vice Chancellor for information